

INFORMATION FOR U.S. INDIVIDUAL TAX RETURN - U.S. CITIZEN OR U.S. RESIDENT (FORM 1040)

Year _____

Client Name _____

U.S. Taxpayer Identification Number or Social Security Number _____ Occupation _____

Country issuing your passport _____ Country (ies) of citizenship _____

Country of Birth _____ Was one of your parents ever a U.S. citizen? Yes / No

Country of residence _____ Date residence established _____

Do you have a foreign visa? Yes / No If Yes, Type and Term of foreign visa _____

Number of days in U.S. during above tax year _____ If a U.S. resident, date you became a U.S. resident _____

If you are a U.S. citizen, when did you become a U.S. citizen? _____

Will your spouse be filing a U.S. income tax return? Yes / No Citizenship of spouse _____

Spouse's U.S. Taxpayer Identification Number or Social Security Number _____

Your dependent children's U.S. Tax Identification Number, if any. _____

Is any dependent child either a U.S. citizen or Greed Card holder? Yes / No *If Yes, please provide detail.*

Have you ever held a U.S. Green Card? Yes / No If Yes, date you received U.S. lawful permanent resident status. _____

If No, have you applied for for a Green Card? Yes / No If Yes, when did you apply for a Green Card? _____

Have you abandoned a Green Card or relinquished U.S. citizenship since 1995? Yes / No If Yes, indicate date _____

Do you have any other non-immigrant U.S. visa? Yes / No If Yes, Type (F, J) _____ U.S. Visa # _____

Did you own or sell any Canadian or other non-U.S. mutual funds or exchanged traded funds (ETFs) at any time during the year that were not in your RRSP, RRIF, or LIRA? Yes / No

Did you sell any other assets such as stocks, bonds, real estate property, etc. during the year? Yes / No

Do you have any account held in trust for your minor children? Yes / No

Did you receive annuity payments during the year? Yes / No Did you roll over a traditional IRA account to Roth IRA account? Yes / No

Were you the owner of beneficiary of any of the following Canadian plans: a registered pension plan; deferred profit sharing plan; RESP; TFSA; RRSP; RRIF; LIRA; LIF; or LRSP? Yes / No

Do you participate in any other non-U.S. employer or private pension plan? Yes / No

Do you own any interest in a U.S. corporation, trust, or partnership which has a foreign financial account? Yes / No

Did you or your family own directly, or indirectly, or by attribution, a Canadian or other non-U.S. private corporation at any time during the year? Yes / No

If Yes, indicate ownership % _____

Were you or was your spouse an officer or director of any non-U.S. corporation during the year? Yes / No

Did you transfer any cash or other property to a non-U.S. corporation or partnership during the year? Yes / No

Did you receive any cash or other property from a non-U.S. corporation or partnership during the year (other than dividends from public company)? Yes / No

Did you create or were you considered an owner of any non-U.S. trust during the year? Yes / No

Did you receive any money or property from a non-U.S. trust, or transfer any money or property to a non-U.S. trust during the year? Yes / No

Were you the executor of any deceased individual that had a connection or transaction with a non-U.S. trust? Yes / No

Do you have any connection whatsoever with any non-U.S. trust, including mutual funds and income trust? Yes / No

Did you make or receive any gifts or inheritances to or from a U.S. citizen or Green Card holder during the year? Yes / No

Do you have an interest in any non-U.S. entity that is a disregarded entity for U.S. tax purposes? Yes / No

Do you own or rent your foreign home? _____